Amendments to the Claims

This listing of claims will replace all prior versions, and listings of claims in the application:

Listing of Claims:

1. (Original) Hydrophilic polyurethane (PU) prepolymers comprising a polymer backbone with structural units of formula (I),

$$N$$
 N
 R^1
 R^2
 (I)

in which

R¹ and R² independently of one another represent the radicals H, C₁-C₂₀- (cyclo)alkyl, C₆-C₂₄-aryl, C₁-C₂₀-(cyclo)alkyl ester or amide, C₆- C₂₄-aryl ester or amide, mixed aliphatic/aromatic radicals having 1 to 24 carbon atoms, which may also be part of a 4- to 8-membered ring, and

X is an electron-withdrawing group,

n is an integer from 0 to 5,

and also having structural units of polymeric polyols with a number average molecular weight range from 400 to 6000, the polymer backbone possessing ionic or potentially ionic and/or nonionically hydrophilizing groups.

2. (Original) Aqueous dispersions of polyurethane-polyurea polymers comprising the general structural unit (II),

$$Z \xrightarrow{P^1} O \xrightarrow{N-\cdots} O \xrightarrow{R^2} X \xrightarrow{H} (II)$$

in which

R¹ and R² independently of one another represent the radicals H,

C₁-C₂₀-(cyclo)alkyl, C₆-C₂₄-aryl, C₁-C₂₀-(cyclo)alkyl ester or amide, C₆-C₂₄-aryl ester or amide, mixed

aliphatic/aromatic radicals having 1 to 24 carbon atoms, which may also be part of a 4- to 8-membered ring,

X is an electron-withdrawing group,

Z represents OH, OR³ or NR⁴R⁵, with

R³ is selected from a C₁-C₂₀-(cyclo)alkyl radical,

C₂-C₁₈-alkenyl radical, C₅-C₈-cycloalkenyl radical, C₂-C₁₈-alkynyl radical, C₆-C₂₄-aryl radical, C₁-C₂₀-(cyclo)alkyl ester and amide radical, C₆-C₂₄-aryl ester and amide radical, and C₃-C₁₂-heterocycloalkyl radicals, all of which can be unsubstituted or substituted by a group selected from NO₂, amino, cyano, carboxyl, ester, keto and aldehyde groups,

R⁴ and R⁵ are independently of one another radicals selected from the group consisting of H, C₁-C₂₀-(cyclo)alkyl, C₂-C₁₈-alkenyl, C₅-C₈-cycloalkenyl, C₂-C₁₈-alkynyl, C₆-C₂₄-aryl, C₁-C₂₀-(cyclo)alkyl ester and amide, C₆-C₂₄-aryl ester and amide, C₃-C₁₂-heterocyclo-alkyl radicals, all of which may be unsubstituted or substituted by a group selected from

PO7899

NO₂, amino, cyano, carboxyl, ester, keto and aldehyde groups, and R⁴ and R⁵ together with the nitrogen atom may form a C₃-C₁₂-cycloalkyl or a C₃-C₁₃-heterocycloalkyl radical containing O, S or N atoms,

and/or the general structural unit (III),

in which

R¹, R² and X have the aforementioned meaning and

- Z' represents a bridging oxygen atom or bridging secondary or tertiary nitrogen atom and
- n is an integer from 0 to 5.
- 3. (Currently Amended) A process for preparing the polyurethane prepolymers according to Claim 1, characterized in comprising the step of reacting
 - A1) at least one polyisocyanate having aliphatically, cycloaliphatically, araliphatically and/or aromatically attached isocyanate groups with
 - A2) polymeric polyols of the average molar weight range from 400 to 6000,
 - A3) optionally one or more polyhydric alcohols having 1 to 4 hydroxyl groups of the molecular weight range up to 400,
 - A4) at least one ionic and/or potentially ionic and/or nonionic hydrophilic compound having NCO reactive groups,
 - A5) at least one CH-acidic cyclic ketone of the general formula (IV),

PO7899

$$\begin{bmatrix} & & & \\ &$$

$$X$$
 R^1
 R^2
 (IV)

in which

X is an electron-withdrawing group,

R¹ and R² independently of one another are selected from the group of radicals consisting of H, C₁-C₂₀-(cyclo)alkyl, C₆-C₂₄-aryl, C₁-C₂₀-(cyclo)alkyl ester and amide, C₆-C₂₄-aryl ester and amide, mixed aliphatic/aromatic radicals having 1 to 24 carbon atoms, which can also be part of a 4- to 8-membered ring,

n is an integer from 0 to 5, and with

A6) optionally one or more (cyclo)aliphatic monoamines or polyamines or amino alcohols having 1 to 4 amino groups of the molecular weight range up to 400,

in the presence of a catalyst and optionally in the presence of isocyanate-inert organic solvents, the molar ratio of isocyanate groups to isocyanate-reactive groups being from 0.5 to 3.

PO7899

- 4. (Original) The process according to Claim 3, wherein the polymeric polyols(A2) are polyester-, polyether- or polycarbonate polyols.
- 5. (Original) The process according to Claim 4, wherein the polyether polyols are composed of less than 30 mol% ethylene oxide units.
- 6. (Currently Amended) A process for preparing aqueous dispersions of polyurethane-polyurea polymers according to Claim 2 comprising the steps of:
 - (a) providing an aqueous phase,
 - (b) providing at least one hydrophilic polyurethane prepolymer, according to Claim 1.
 - (c) partly or fully neutralizing ionic or potentially ionic groups, and
 - (d) conducting a dispersion operation, by transferring the polyurethane prepolymers of b) to the aqueous phase, or vice versa
 - (e) before, simultaneously or after step (d) chain extending with aminic components (A4) comprising ionic or potentially ionic and/or nonionic hydrophilic compounds having isocyanate-reactive groups and/or (A6) one or more (cyclo)aliphatic monoamines or polyamines or amino alcohols having 1 to 4 amino groups of the molecular weight range up to 400;

wherein the polyurethane prepolymers (e) of (b) comprise a polymer backbone with structural units of the formula (I)

$$R^1$$
 R^2 (I)

in which

R¹ and R² independently of one another represent a radical selected from the group consisting of H, C₁-C₂₀-(cyclo)alkyl, C₆-C₂₄-aryl, C₁-C₂₀-(cyclo)alkyl ester and amide, C₆-C₂₄-aryl ester and amide, mixed aliphatic/aromatic radicals having 1 to 24 carbon atoms, which may also be part of a 4- to 8-membered ring, and

X is an electron-withdrawing group,

n is an integer from 0 to 5,

and also with having structural units of polymeric polyols having with a number average molar weight range of from 400 to 6000, the polymer backbone possessing ionic or potentially ionic and/or nonionic hydrophilizing groups.

- 7. (Original) A process for producing coating compositions comprising adding the aqueous dispersions of polyurethane-polyurea polymers according to Claim 2 alone or in combination with curing agents and/or polymers soluble, emulsifiable or dispersible in water and in dispersed form to a coating composition.
- 8. (Original) Coating compositions comprising polyurethane-polyurea dispersions according to Claim 2.
- (Original) Substrates coated with the coating compositions comprising polyurethane-polyurea dispersions according to Claim 8.
- 10. (Original) A method of preparing coating materials, sizes or adhesives comprising adding the polyurethane prepolymers of Claim 1 to a composition selected from coating compositions, sizing compositions and adhesive compositions.
- 11. (Original) A method of preparing coating materials, sizes or adhesives comprising adding the polyurethane polyurea dispersions of Claim 2 to a composition selected from coating compositions, sizing compositions and adhesive compositions.